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Attorney Docket :10235/10



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Kazuo SHINOZAKI, et al.

Serial No.: 09/988,739

Filing Date: November 20, 2001

For: ENVIRONMENTAL STRESS
RESPONSIVE PROMOTER

Art Unit : Not Assigned

Examiner : Not Assigned

Assistant Commissioner fOR Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)(3)

SIR:

Under the provisions of 37 CFR 1.97(b)(3), and in accord with Applicants' duty of disclosure under 37 CFR 1.56, Applicants would like to call the Examiner's attention to the five documents cited on the attached form PTO-1449.

The references were cited in the Partial European Search Report, a copy of which is also enclosed.

The Examiner is respectfully requested to review, and to mark as considered, the references listed on the attached form PTO-1449, to make them of record in the present application and to include them in the "References Cited" section of any patent to issue on the present application.

The Office is hereby authorized to charge any fees under 37 CFR 1.16 or 1.17, or to credit any overpayment, to Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202/220-4200 to discuss any matter in this application.

Respectfully submitted,

KENYON & KENYON

Judith L. Toffenetti
Reg. No. 39,048

Date

Feb 12, 2002

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Form 1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT		ATTY. DOCKET NO. 10235/10	SERIAL NO. 09/988,739
		APPLICANT Kazuo SHINOZAKI, et al.	
Page 1/1		FILING DATE November 20, 2001	GROUP Not Assigned

*O I P E S C I T Y
FEB 12 2002
PATENT & TRADEMARK OFFICE*

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE*

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLAS S	TRANSLATION	
					YES	NO

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	M. Kasuga <i>et al.</i> , <i>Improving plant drought, salt, and freezing tolerance by gene transfer of a single stress-inducible transcription factor</i> , Nature Biotechnology 17 , pp 287-291 (1999)
	Q. LIU <i>et al.</i> , <i>Two Transcription Factors, DREB1 and DREB2, with an EREBP/AP2 DNA Binding Domain Separate Two Cellular Signal Transduction Pathways in Drought- and Low-Temperature-Responsive Gene Expression, Respectively, in Arabidopsis</i> , The Plant Cell 10 , pp1391-1406 (1998)
	K. Shinozaki <i>et al.</i> , <i>Gene Expression and Signal Transduction in Water-Stress Response</i> , Plant Physiology 115 , pp 327-334 (1997)
	H.J. Bohnert, <i>et al.</i> , <i>Adaptations to Environmental Stresses</i> , The Plant Cell 7 , pp1099-1111 (1995)
	X. Lin <i>et al.</i> , <i>Sequence and analysis of chromosome 2 of the plant Arabidopsis thaliana</i> , Nature 402 , pp 761-768 (1999)
EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	